Costas Arrays What, Why, How, and When By James K Beard, Ph.D.

Tonight's Topics

- Definition of Costas arrays
- Significance of Costas arrays
- Methods to obtain Costas arrays
 Principal uses of Costas arrays
 - Waveform example
 - Other
 - The future of Costas arrays
 - Conclusions, References

Definition of Costas Arrays

- Costas arrays are permutation matrices with an added constraint, the Costas condition
- Costas condition: When a Costas array matrix and a replica of itself are overlaid, the replica with an offset of an integral number of rows and columns, only one "1" overlays another "1"
- This models a signal with a Doppler shift being processed with a matched filter

Analyzing Costas Arrays

- Two common representations
 - As a permutation matrix
- A row vector, value at each column position designates the positions of the "1"s
 The most often used tool is the difference triangle
 - First row: row vector of row indices
 - Other rows: Differences between row indices

The Difference Triangle

- Row zero is the sequence of row indices
 - N is the order of the Costas array
 - Has N elements c(j)

Row 1: Column j is the difference c(j+1)-c(j) Has N-1 elements

- Row i:
 - Column j is the difference c(j+i)-c(j)
 - Has N-i elements

Example of Difference Triangle

Costas array	3	4	2	1	5
Row 1	1	-2	-1	4	
Row 2	-1	-3	3		
Row 3	-2	1			
Row 4	2				

Array is a permutation if the first row has no duplicate entries and all are between 1 and N (or between 0 and N-1)

Shifting replica of Costas array right 1 row and down 1 column overlays ones at (3,1) and (4,2)

Similarly, each element of the difference matrix provides row and column shifts that Overlay ones

Thus, the Costas condition is equivalent to requiring that values appear only once in any given row

Difference Vectors

- A *difference vector* is the difference in (row,col) coordinates between two ones in the Costas array matrix
- Each element in the difference triangle corresponds to a difference vector
 Row i, difference triangle entry in column j is d(i,j)
 - Difference vector is (i,-d(i,j))
 - Another difference vector is its negative, -(i,-d(i,j))
 - Costas condition is equivalent to requiring that no two difference vectors be equal

Discrete Ambiguity Function

- A Discrete Ambiguity Function (DAF) is the number of overlaying ones as a function of rows and columns shifted
- Simple construction of DAF:

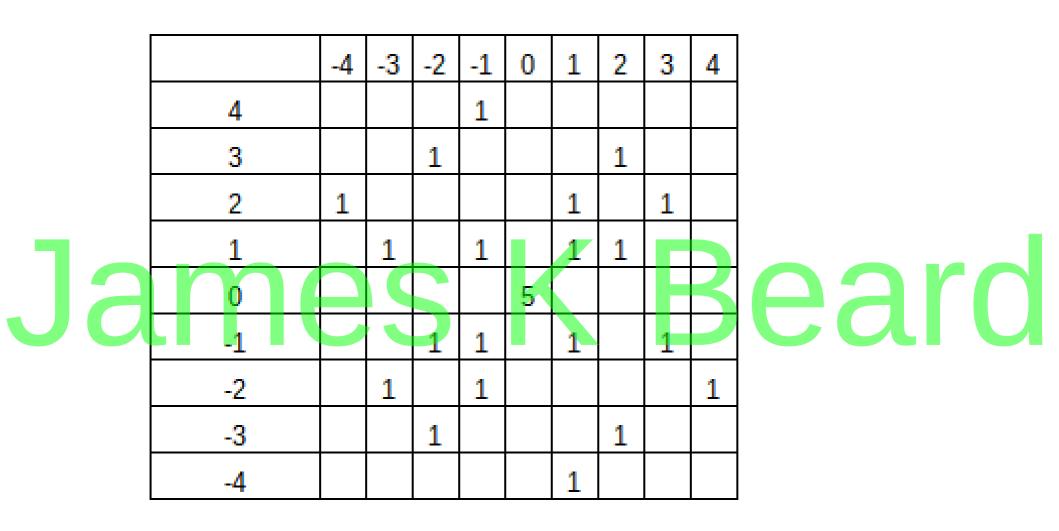
Size is (2N-1) by (2N-1)

- Center is the order, N
 From difference triangle; ones at (i,-d(i,j)) and at -(i,-d(i,j))
 - Other squares are zero
- Difference vectors in CA are positions of ones in the DAF

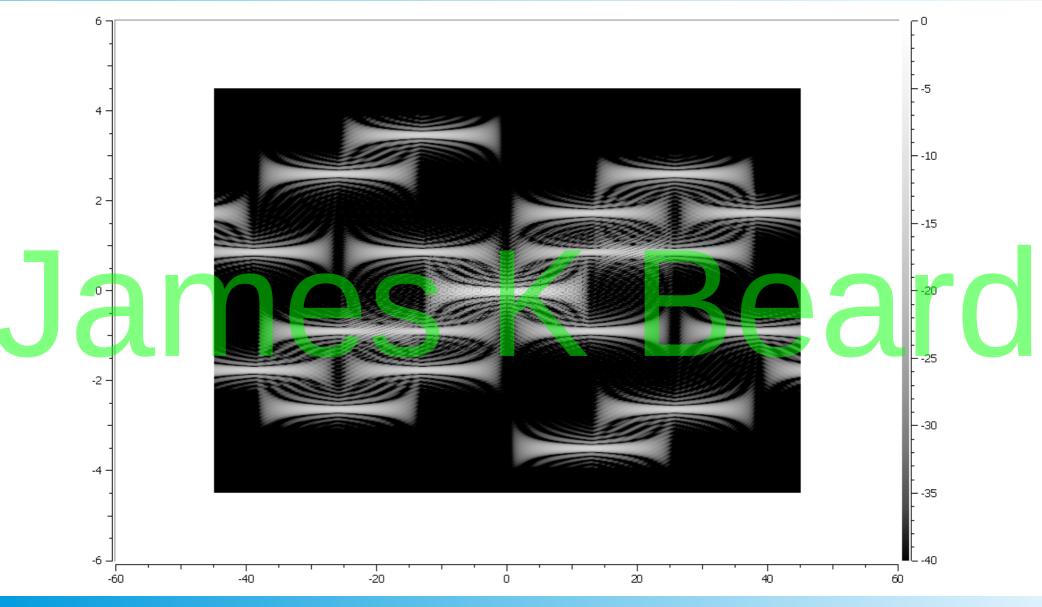
Significance of DAF

- Ambiguity function of waveform
 - Coherent sum of ambiguity functions of single pulses
 - Relative positions and amplitudes of each such ambiguity function are given by positions and numbers in the DAF
 Watch out for a sign convention
 DAF row indices increase as position moves up
 - Most matrices such as Costas arrays are represented with row indices that increase as position moves down
 - Mismatch will result in an upside-down DAF

Example of DAF



Ambiguity Function using CA



Significance of Costas Arrays

- It was always about waveforms from the beginning
 - First Costas array definition by John Costas for Project MEDIOR was a frequency shift scheme for sonar waveforms
- Desired effect is that no combination of range and Doppler offset results in more than one overlaid pulse
- Huge differences in hydroacoustic and electromagentic waves requires fundamental differences in processing

Huge EM and SONAR Differences

- Velocity of propagation: 3E8 m/s vs. 1500 m/s
- Typical frequencies: 10 GHz vs. 40 kHz
- Coherency versus medium
 Sea water: poor over widely separated frequencies
 RF: generally excellent except in some cases in the ionosphere
 - Acoustic dispersion is high in sea water

Costas Arrays in Waveforms

- Bandwidth spreading schemes in
 - Radar
 - Sonar

Communications
 Pseudorandom sequences for use in digital coding

• Other: Add nearly invisible spots at the black level in digital photographs as a digital watermarking technique

Modern Radar Waveforms

- We will give elementary examples
- Practical examples involve layered techniques
- Good reference for use of Costas arrays in layered methods for high performance radar waveforms:

- Radar Signals, by Nadav Levanon and Eli Mozeson

Fundamental Trade Parameters

- For an order of N and simple CW pulses
 - CW pulse length au
 - Time-bandwidth product is N²
- Peak to sidelobe ratio is $20 \log_{10} N$ Shading over the N pulses is not helpful
 - Width of each frequency channel is about $\frac{1}{\tau}$
 - Ambiguity function of full waveform is coherent sum of ambiguity functions of the CW pulses

Example

- Elementary example: Simple CW chips
- Two-way range resolution $\Delta R = \frac{1}{N} * \frac{c * \tau}{2}$ Bandwidth $BW \approx \frac{N}{\tau}$ • Frequency Resolution $\Delta F \approx \frac{1}{N * \tau}$
 - Ambiguity function sidelobes $20 * \log_{10} N$
 - Degraded by sidelobes of chip ambiguity functions
 - Accurate estimates determined by simulations

Methods to Obtain Costas Arrays

- Comprehensive search
 - Simple and fast for orders up through about 20
 - Computation time increases by about a factor of five for each order increase of one
 Number-theoretic generators
 - Welch generator, $j = \alpha^{i-1} (mod p)$
 - Lempel-Golomb generator, $\alpha^{i} + \beta^{j} = 1 \in GF(p^{k})$
 - Taylor generalizations; other generalizations

Online Database

- IEEE DataPort https://ieee-dataport.org/
 - DOI 10.21227/H21P42
 - Creative Commons Attribution license
- All known Costas arrays to order 1030
 Separate searched database for orders to 29
- Windows GUI utility for search, extraction, analysis; Linux version coming soon

Numbers of Costas Arrays



Principal Uses of Costas Arrays

• Waveforms

- Pseuodrandom frequency hopping scheme
- Single or repeating waveform
- Reasons for frequency hopping
 - Shared bandwidth mutual platform interference in radars, communications, cell phones, …
 - Robustness against interference
 - Lower probability of detection of emissions

Example Waveform

• Two variations

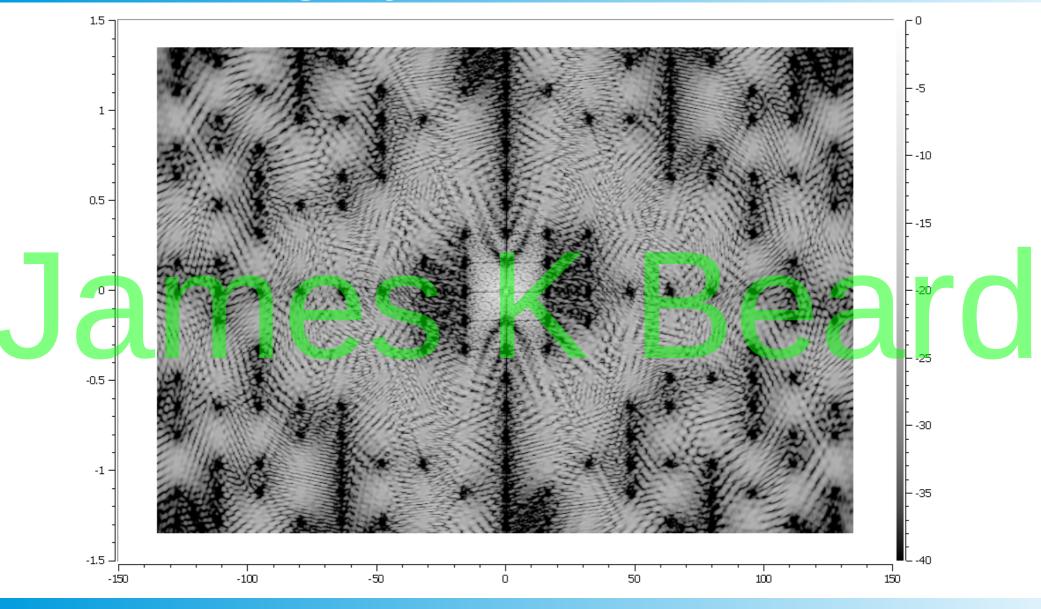
- Order 14 Costas array, chips simple CW pulses
- Same Costas array, chips are chirped
- One order 14 Costas array
 The only Costas array with only two ones in the central 5X5 square
 - Symmetrical, transposition and rotation produces only four "siblings"
 - Order 14, TW product is 196, a good match for some radar applications

Waveform Parameters

- Costas array order 14
 - 20 log10(N) is about 22.3 dB
 - Row indices are {8,13,3,6,10,2,14,5,11,7,1,12,9,4}
- Chip pulse length 10 µs
 Derivative parameters
 - Bandwidth 1.4 MHz
 - Sample rate 2.9 MHz complex
 - Model data length 1024 complex samples

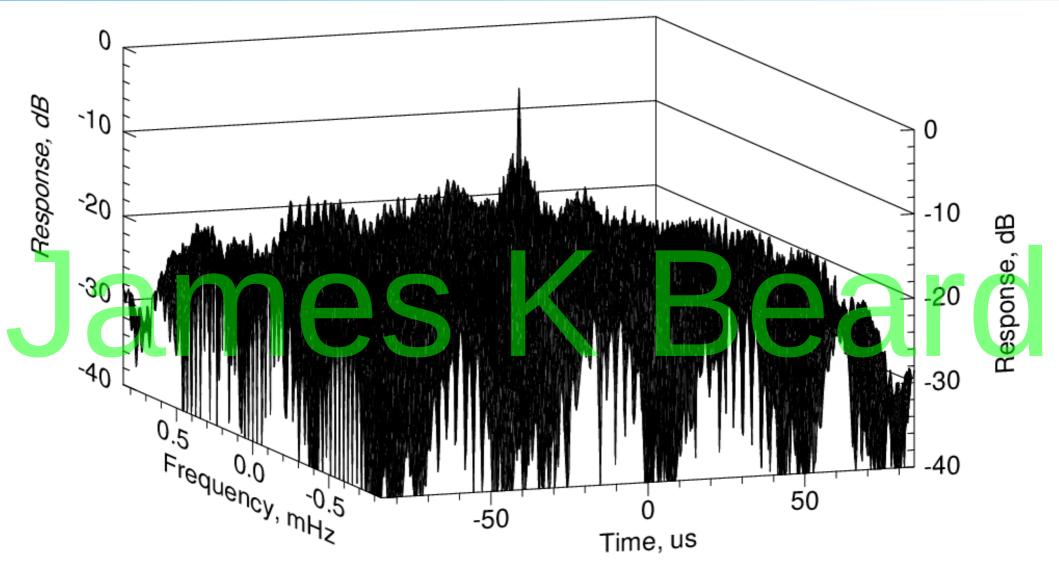
seal.

Ambiguity Function Contour

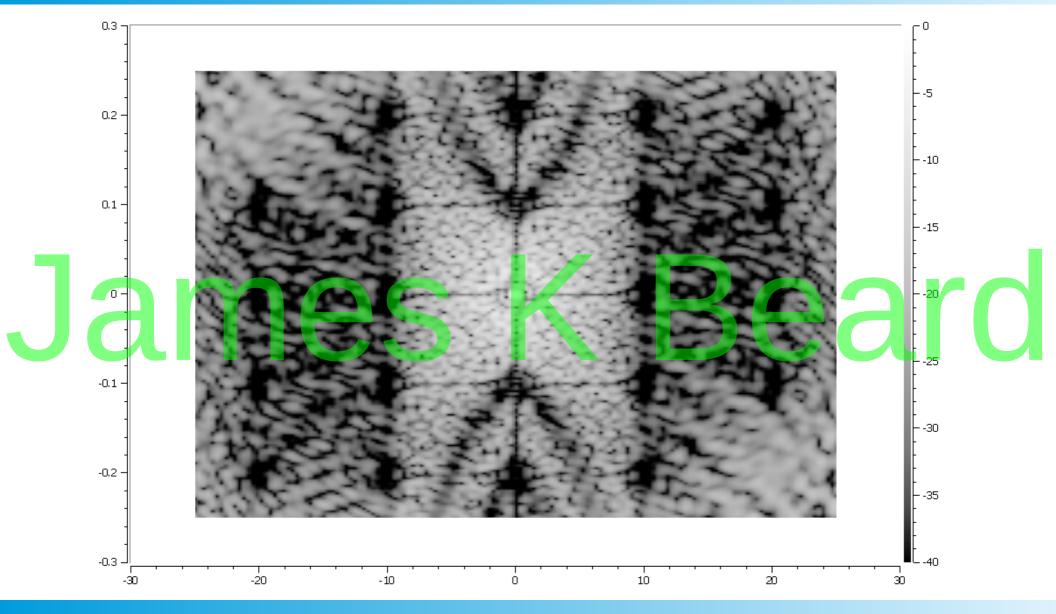


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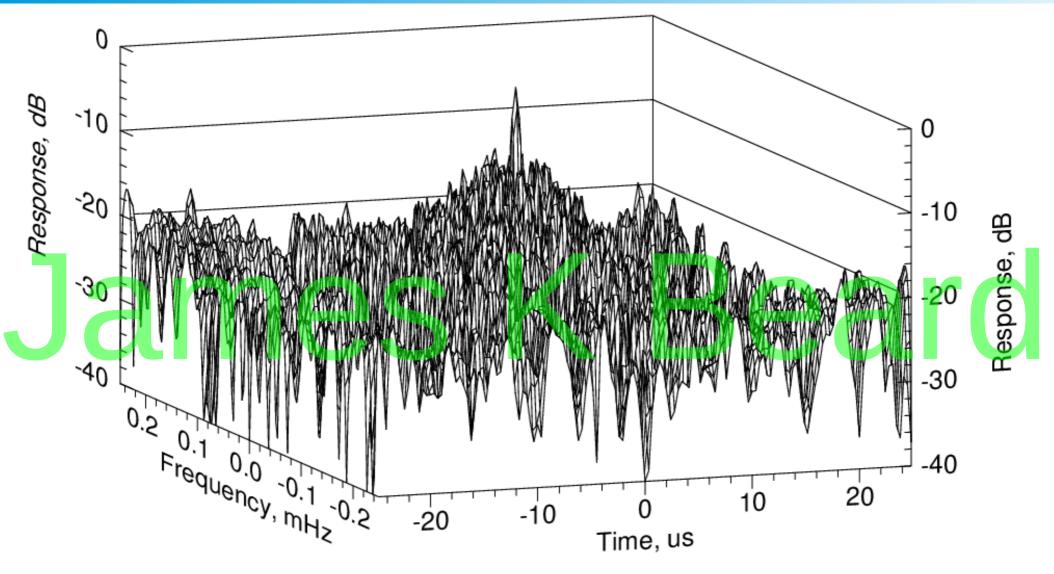
Ambiguty Function Mesh Plot



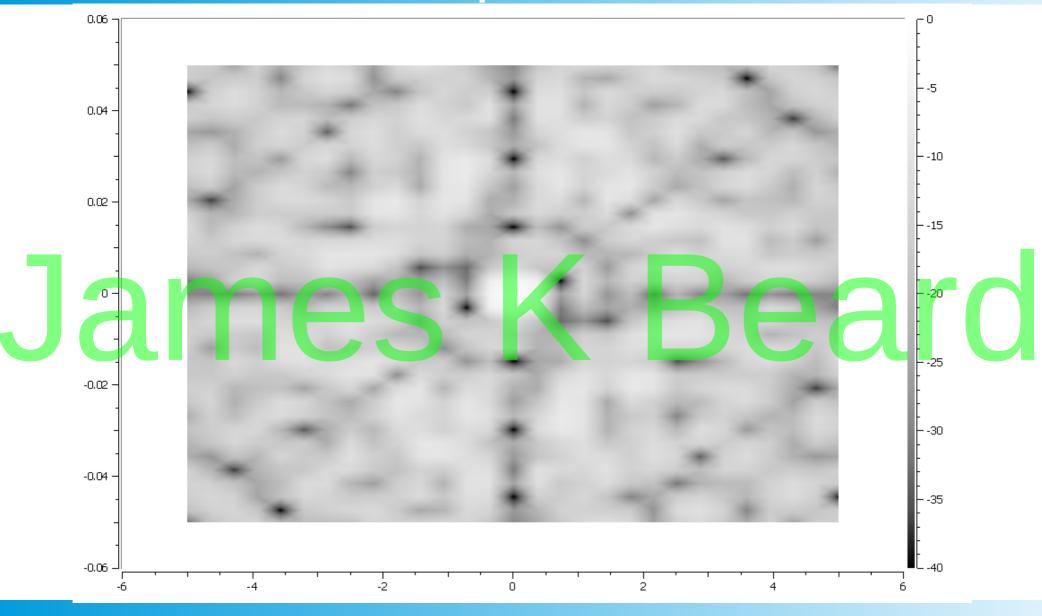
Central 5X5 Contour



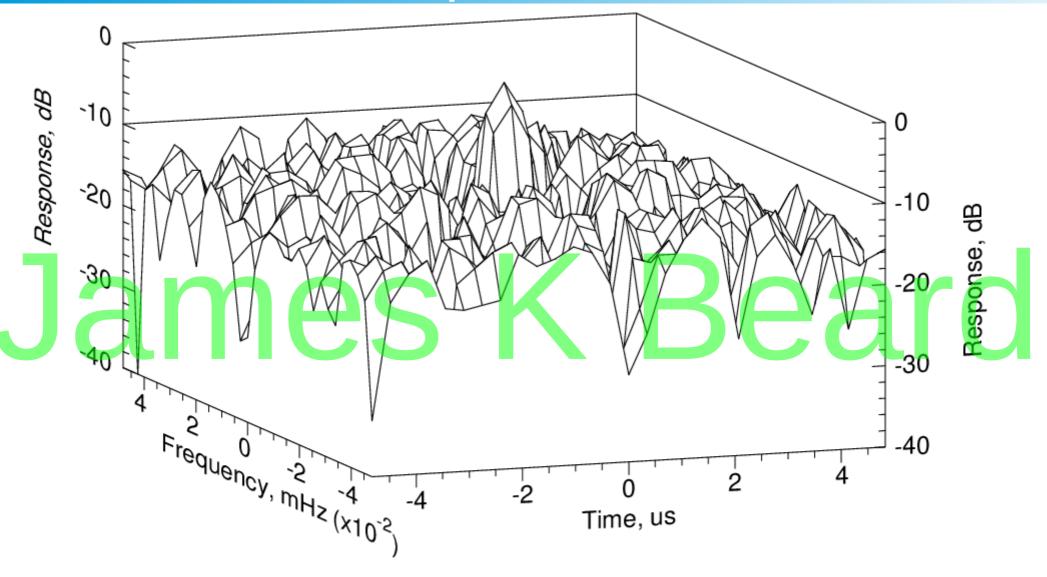
Central 5X5 Mesh Plot



Central Square Contour



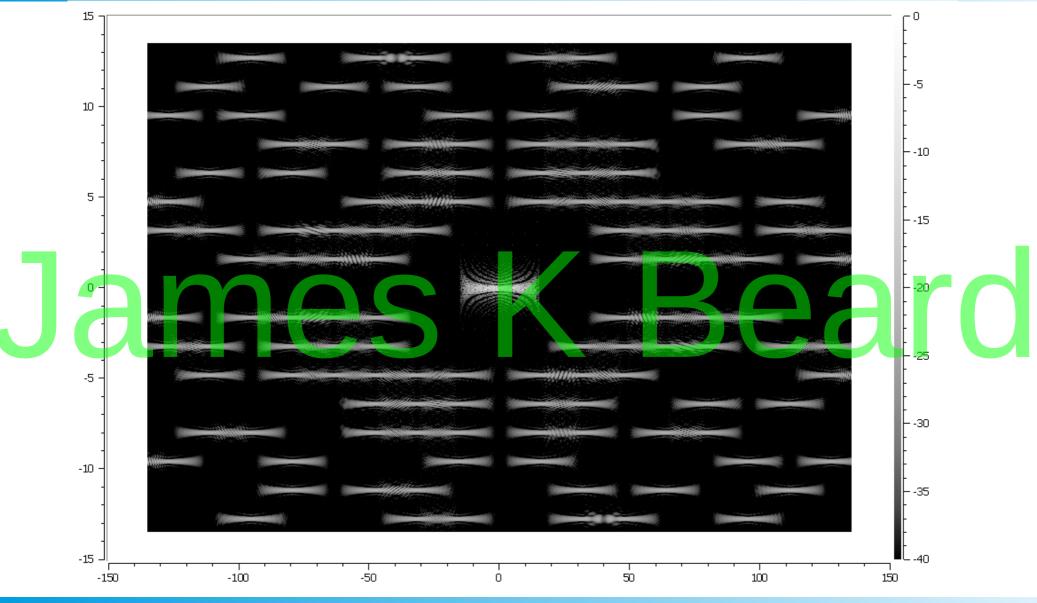
Central Square Mesh Plot



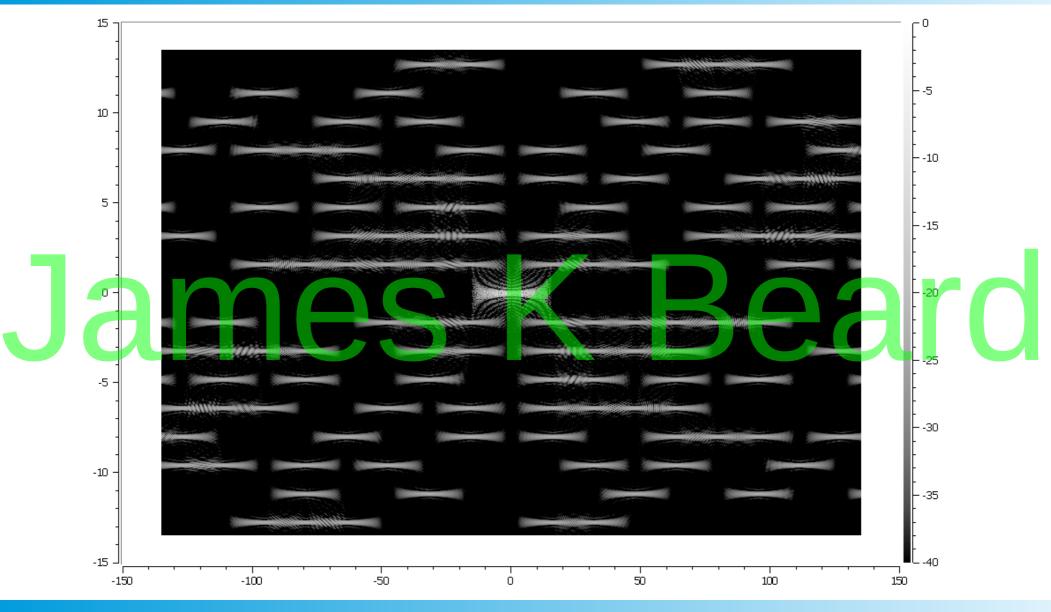
Second Example Waveform

- Same Costas array
 - $\{8,13,3,6,10,2,14,5,11,7,1,12,9,4\}$
- Same chip pulse length, 10 µs
 Chip upchirp 1 MHz
 - Derivative parameters
 - Bandwidth 14.1 MHz
 - Sample rate 28.2 MHz complex
 - Model data length 8192 complex samples

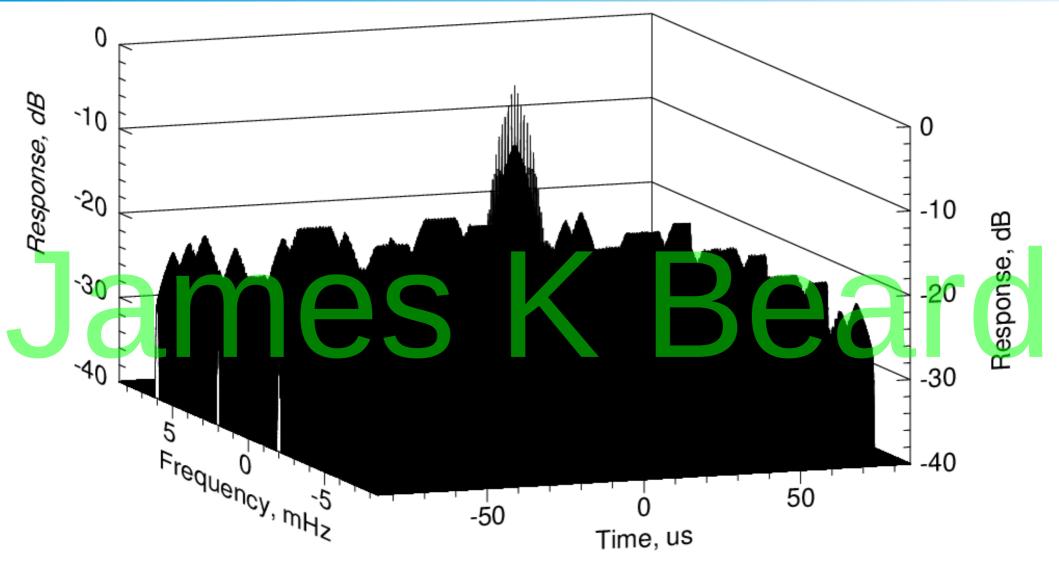
Ambiguity Function w/Chirp



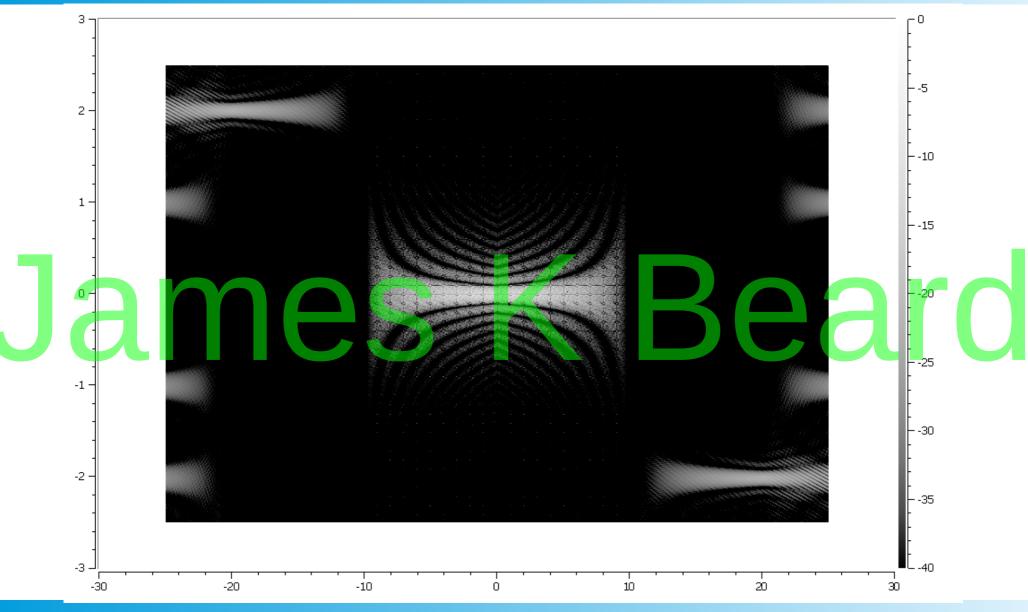
From Another Order 14



Ambiguty Function w/Chirp

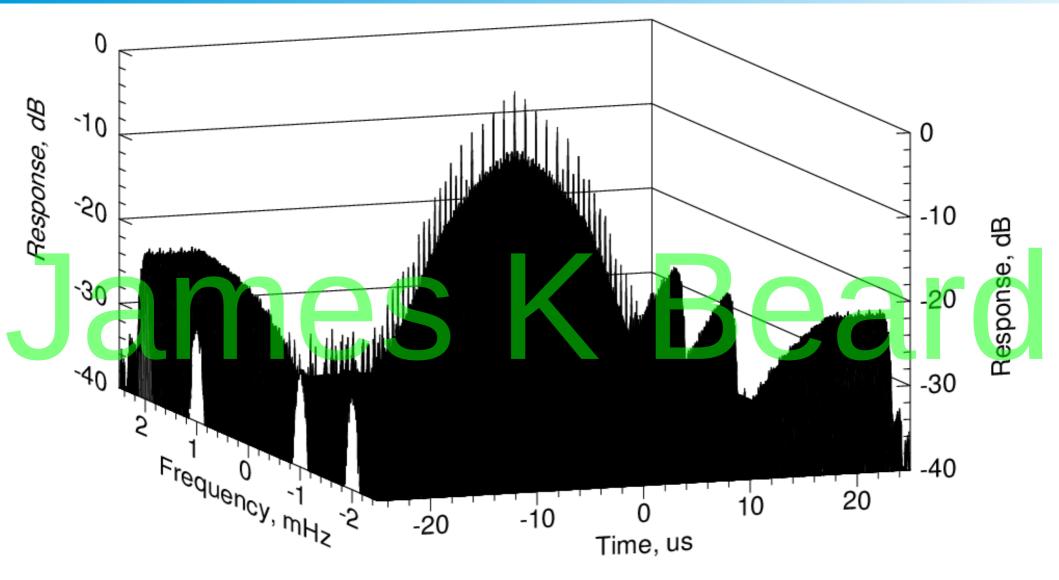


Central 5X5 Area

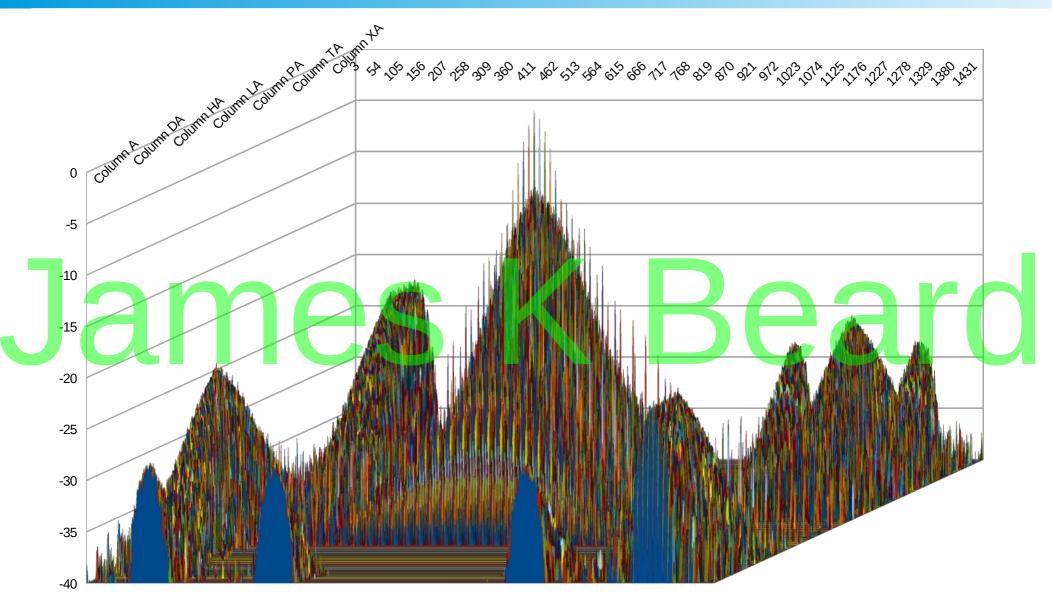


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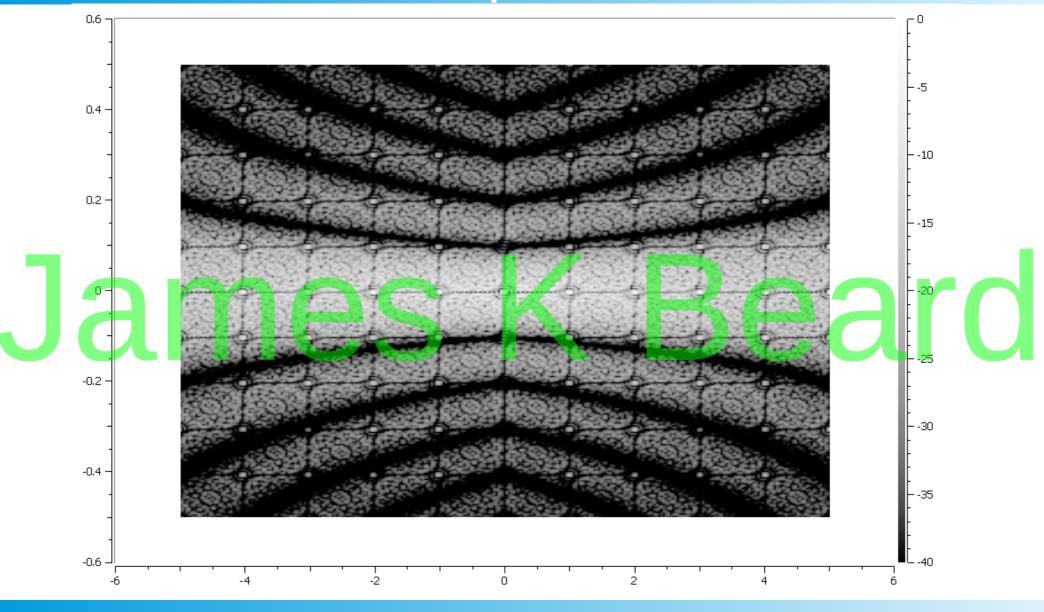
Central 5X5 Area



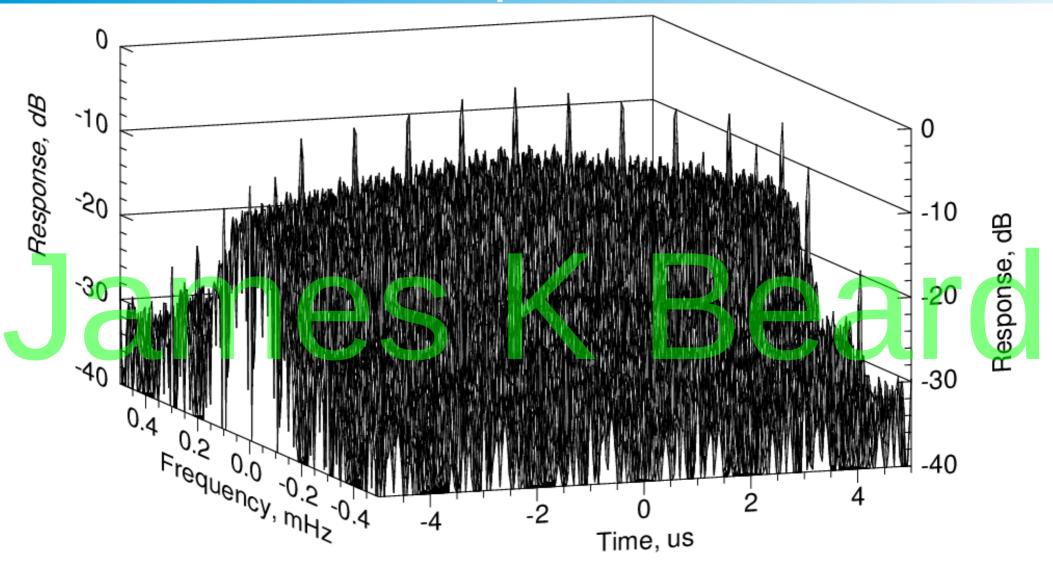
Central 5X5 Area



Central Square of DAF



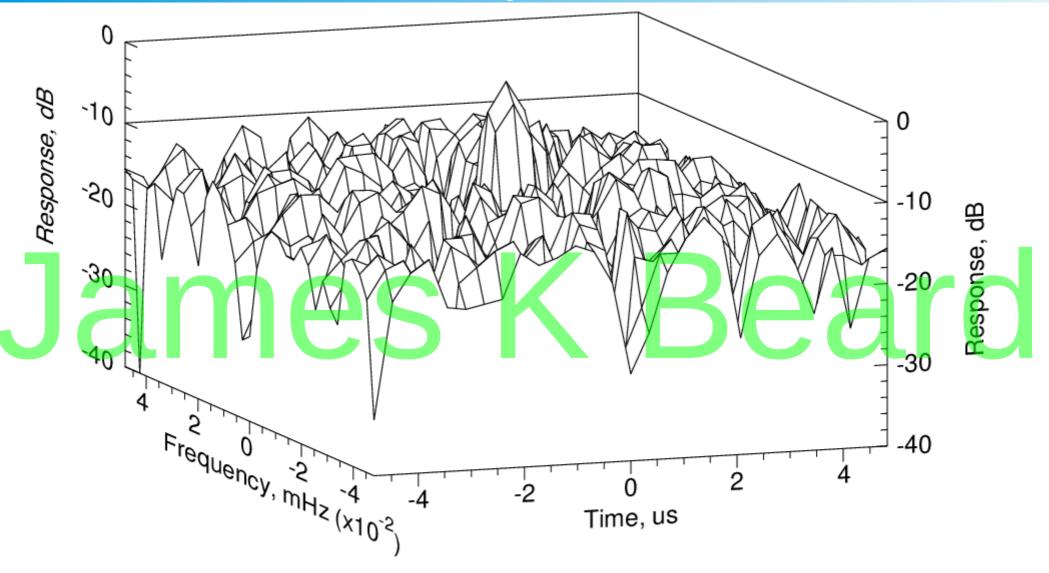
Central Square of DAF



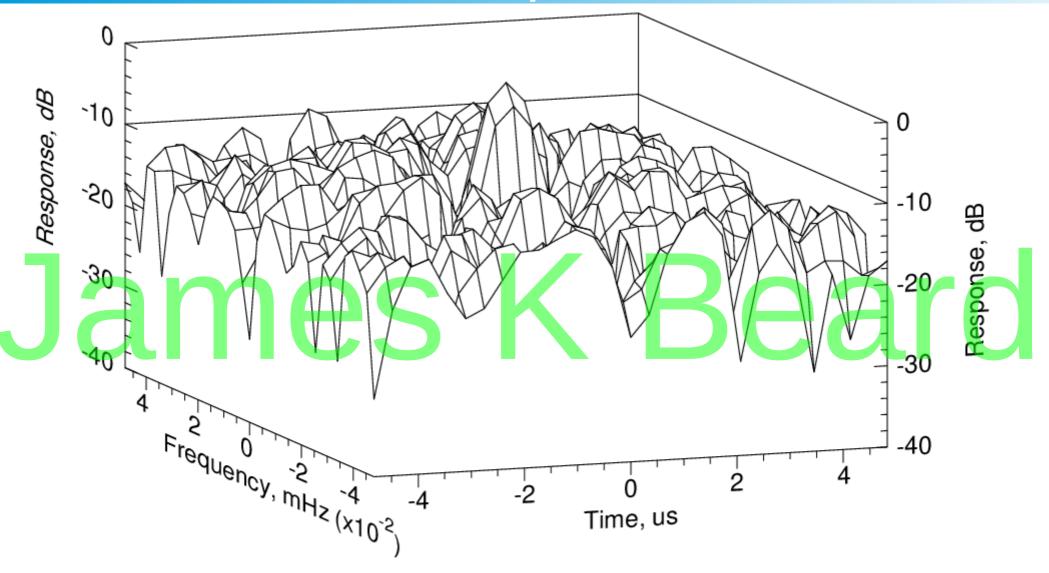
Receiver Effects

- There is no time or frequency weighting
- Band edge rolloff in receiver is not modeled
- What happens with band edge weighting?
 For the purposes of illustration
 - Taylor weight in frequency domain
 - Bandwidth is signal bandwidth
 - Crude model of Bessel or linear phase IF filter

Central DAF Square WO/Rolloff



Central DAF Square W/Rolloff



Takeaways

- Changes due to concatenating techniques
 - Expected replicated ambiguity functions of the chirp
 - From higher correlation peak reduced splatter sidelobes relative to central maximum peak
- Other design opportunities
 Shade the chips by amplitude modulating the transmitter
 - Vary the parameters larger Costas arrays, shorter chips, etc.
 - Use other waveforms in the chips, including FSK
- We are just scratching the surface here

Costas Arrays in the Future

- Where are they now?
 - High performance radar waveforms
- Cell phone and other communications waveforms
 Coding schemes in digital waveforms
 Digital watermarking
 - Where will the be next?
 - Anywhere minimal cross-correlation is important
 - Wherever math and physics opens a possibility

Conclusions

- Costas array work appeared in volume in the 1970s and early 1980s
- Moore's Law and computer resources for researchers provided opportunities for new work into the 1990s
- Moore's Law and increasing complexity of radar and communications systems provides incentive for new work in the 2000s and 2010s

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